



Alpha Innovation Required

Community / Content / Capital – Curated Professionally by Industry Practitioners

AIR Introduction

Alpha Innovation Required



AIR was created in 2013 to facilitate the discussion of innovative trends and challenges facing the institutional investment management industry. Leaders in investment management are searching for new sources of alpha and leveraging emerging ideas before becoming mainstream. This includes investment, operational and organizational alpha.

AIR is the only FinTech platform focused exclusively on the challenges faced by the institutional capital markets industry, and specifically the alpha generation process. AIR surfaces emerging ideas and FinTech companies whose offerings support the front office to make more innovative portfolio construction and implementation decisions.

We call it AlphaTech.

Mission Statement

Alpha Innovation Required



BUILDING COMMUNITY

AIR's mission is to grow and engage a community that helps investment managers and service providers discover **new ideas, technology and talent** to drive increased investment performance.



CAPITALIZING TRENDS

AIR helps our community capitalize on current trends in the asset management industry through the application of emerging technologies and data sets aimed at tackling investment and operational challenges in a rapidly evolving environment. **AIR members collaborate on lessons learned from applying emerging technology to specific use cases in the investment process.**



IDENTIFY OPPORTUNITIES

AIR integrates thought leadership and innovation across a strategic ecosystem through a combination of content, connectivity and direct development opportunities. **Participation in AIR provides a platform approach to collaborate with peers and identify commercial and investment opportunities.**

AIR Platform Components

Community, Content, Capital

AIR Summit Series

Events: AIR Summit events are unique. AIR produces the only events solely focused on capital markets technology with an invitation only attendee list consisting of senior people from the industry's leading firms including investment companies with more than \$36 trillion in AUM.

Expert Network: AIR brings together subject matter experts and industry leading practitioners from the buy-side, sell-side and emerging fintechs. Our community includes leading minds across Artificial Intelligence, Natural Language Processing, Machine Learning, Automation, Behavioral Science and Human Capital management.

AIR Alliance

Content: Members-only content such as presentations, videos, podcasts etc are exclusively available for members.

Curation: AIR reviews hundreds of companies per year, providing a valuable resource in the vendor discovery process. Additionally, AIR captures community feedback on these companies, adding crowd sourced diligence and curation to the process for the benefit of Alliance Members.

Investment: To date, AIR cohort companies have raised close to \$1.7 billion with acquisitions above \$6 billion, representing a rich source of deal flow opportunities for strategic principal investment .

Community

Executive Level, Invitation Only



450

Senior level decision makers from leading capital markets organizations



70

Global Investment Management firms



36
Trillion

Assets Under Management



100

Emerging FinTechs delivering Alpha Innovation

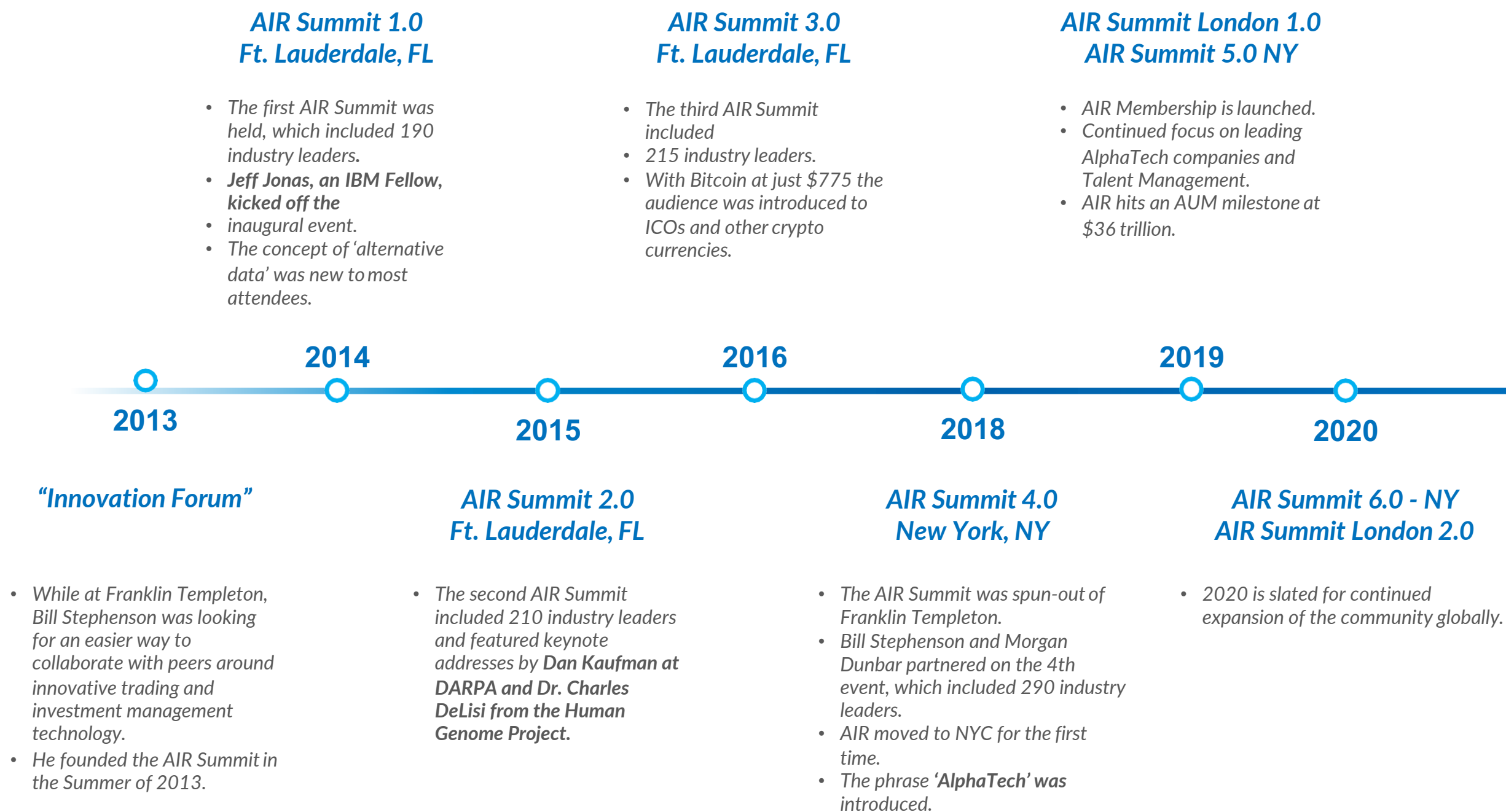
AIR leverages more than 40 years of combined operating experience and relationships to convene senior thought leaders from the world's largest asset management and capital markets firms.

A highly curated and non-commercial format allows for an informal and highly interactive exchange of ideas on trends and ideas impacting the industry.

Select emerging FinTech companies gain unparalleled access to this exclusive community.

Content

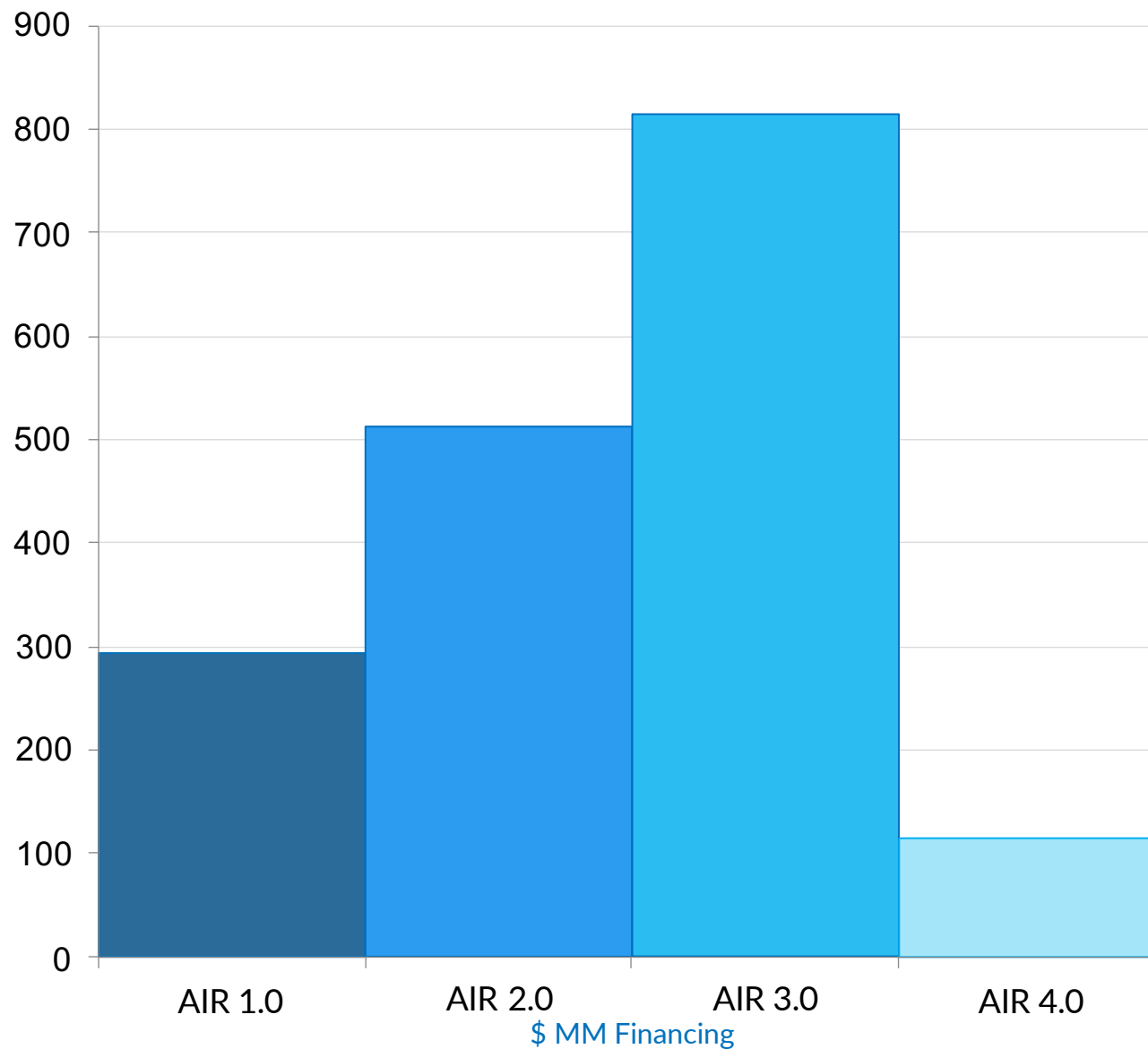
History of the AIR Summit Series



Capital

Rich with Strategic Investment Opportunity

Presenting Companies: Post-Event Financing



32 companies raised over \$1.7 billion post-AIR

16 AIR companies acquired for more than \$6.1 billion

6 AIR 4.0 companies have completed financing rounds

Seeing increased participation from “strategics”

AIR Summit Series 4.0 Cohort

Emerging FinTech Companies Delivering Alpha Innovation



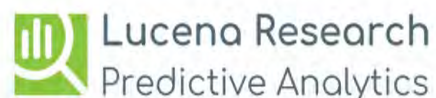
Full list of AIR Cohort: <https://www.airmemberships.com/past-presenting-companies>

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AIR Summit Series 5.0 Cohort

Emerging FinTech Companies Delivering Alpha Innovation



Full list of AIR Cohort: <https://www.airmemberships.com/past-presenting-companies>

Representative Member List

Leading Global Financial Institutions & Emerging Innovators

 Amenity Analytics

 Bank of America
Merrill Lynch

BEHAVOX

Bloomberg

BROWN
BROTHERS
HARRIMAN

 CAUSALens

 Charles
River

 citi

 CMG

 CREDIT SUISSE

 CRUX

 DASH
FINANCIAL TECHNOLOGIES

 Goldman
Sachs

 iex

 Instinet
A Nomura Company

 JonesTrading

 liquidnet

Morgan Stanley

PLATO

 QuantHouse

 RBC Capital Markets

 S₃

 STATE STREET

 VIRTU
FINANCIAL

 visible alpha

 7PARK
DATA

 AIR
SUMMIT

AIR Summit Team

Led and Advised by Seasoned Capital Markets Professionals

AIR Summit Leadership



BILL STEPHENSON

Founder & Managing Partner
AIR Summit Holdings

Boca Raton, FL



MORGAN DUNBAR

Managing Partner
AIR Summit Holdings

New York, NY

AIR Summit 2019 Advisory Board



MAT GULLEY

Alternative Strategies
Franklin Templeton Investments

Ft. Lauderdale, FL



SUREN SIVA

Strategy & Innovation
Credit Suisse

London, UK



WENDY HARRINGTON

Head of Nuveen Labs
Nuveen

San Francisco, CA



ASHBY MONK

Research Director
Stanford University

San Francisco, CA



JORDAN VINARUB

Head of NYC Tech Center
T. Rowe Price

New York, NY



CATHIE WOOD

Founder & CEO
ARK Investment Management

New York, NY



CLARE FLYNN LEVY

Founder & CEO
Essentia Analytics

New York, NY



CARRIE JAQUITH

Digital Product Manager
Lazard Frères

New York, NY



CLIVE WILLIAMS

Head of Global Equity Trading
T. Rowe Price

Baltimore, MD

AIR Platform

Benefits of Participation

Understand industry challenges: AIR provides direct access to leading figures from the investment management industry with a focus on the evolving challenges of delivering investment and operational alpha, it provides a unique opportunity to hear firsthand what their needs and concerns are.

Engage with emerging FinTech leadership: The pace of change in the industry is swift, AIR provides access to a wide range of emerging solution providers and an opportunity to learn about current trends shaping the future of the industry – and how to benefit from them.

Thought leadership: Be viewed as a firm that is actively engaged in providing solutions to the industry by demonstrating a commitment to innovation.

Valuable networking: Through its unique “commercial free” format and curated attendee list, the AIR Summit Series of events present an innovative networking platform with an esteemed demographic. Representative asset management firms collectively manage more than \$36 trillion in AUM and we seek to maintain a buy-side to sell-side ratio of 2:1.

Talent management: AIR recognizes that innovation and talent are inextricably linked and remains committed to assisting its members understand the challenges associated with “cultural alpha”. AIR Members represent an expert network of practitioners across a multi-node community, and the platform facilitates talent discovery as well as access to technology providers deploying a quantitative approach to HCM.

Value of AIR

Membership

The key value of the AIR Summit is the community that is created through the select Membership of forward-thinking professionals from the world's leading capital markets firms. This Membership along with their participation in the program creates a powerful network for developing innovation across multiple functions within the investment process. **Membership is driven by senior leaders from firms who see the value in promoting innovative ideas and participating in a highly curated community of investment and FinTech professionals interested in elevating their decision-making processes.**

AIR has established a leadership position in the capital markets vertical of FinTech, and we are a trusted partner across all nodes of the network – Buyside, Sellside/Service Providers and AlphaTechs.

AIR Alliance Members have trusted relationships with asset management clients, multiple business lines in support of these clients and global reach. Importantly, Members have a vested interest in providing innovative solutions to their clients' challenges.

The AIR Alliance and the AIR Summit events serve to foster interaction around these challenges helping develop and expand relationships between providers and users of the most innovative and evolving ideas in capital markets. Continued participation in this highly curated community of investment and FinTech professionals furthers exploration of new opportunities.

In addition to the Membership Plans outlined on the following page AIR may offer bespoke Sponsor or Exhibitor arrangements for events, please contact us for more information.

Membership Plans

Serving the AIR Community

Buyside Individual – Select Senior Individuals From Leading Asset Management Firms

- Access to AIR Summit
- Access to Member-Only content
- Access to AIR technology platforms

AlphaTech – AIR Summit Alumni

- Access to AIR Summit
- Access to Member-Only content
- Access to AIR technology platforms

Corporate - Buy-side and Sell-side Memberships Available

- Access to AIR Summit
- Access to Member-Only content
- Preview of presenting companies
- Access to AIR technology platforms
- Elevated logo placement at AIR Summit and related properties
- AIR Summit attendance data prior to events
- US, Europe or Global Memberships available

Partner – Enhanced Engagements

- Corporate benefits plus:
- Advisory Board participation
- Increased delegate access to AIR Summit events
- Opportunity to send delegates to participate on premises in AIR powered incubator programs globally
- Leverage AIR Summit POC-as-a-Service
- Assistance from AIR with vendor and/or investment due diligence
- Participation at Partner-only events, with an opportunity to host



AIR Summit Holdings

Connectivity / Content / Capital

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